

# Late Miocene micromammalian assemblage of Tuchengzi and its biochronological position in Neogene faunal sequence in central Nei Mongol, China

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**Abstract** Neogene strata rich in fossil mammals are well exposed in central Nei Mongol, China. Over a dozen mammalian faunas in chronological succession from Early Miocene to Early Pliocene were discerned in this region, and they built a fundamental part of the Neogene land mammal biochronological system in northern China. Tuchengzi was first recognized for producing abundant mammalian fossils during the Sino-Soviet Paleontological Expedition (SSPE) initiated in 1959. However, all unearthed fossils from the SSPE were either large- or middle-sized mammals, and small mammal fossils in the Tuchengzi Fauna were deficient for a long time. The composition and biochronological position of the Tuchengzi Fauna in the Neogene mammalian biochronological system in northern China was not particularly clear. The new fossils here reported are represented by 6 taxa of small mammals. Based on the co-occurrence of *Lophocricetus grabaui*, *Sinocricetus zdanskyi*, *Prosiphneus licenti*, *Hansdebruijnina pusilla*, and *Moschus grandaevus*, the age of the Tuchengzi Fauna is constrained to late Late Miocene or Baodean Chinese Land Mammal Age, slighter younger than the Baogeda Ula Fauna and older than the Ertemte Fauna. Judging from the fossil composition, the Tuchengzi Fauna possibly inhabited a forest-steppe mixed habitat during the late Late Miocene.

**Key words** Tuchengzi, Huade, Nei Mongol; late Late Miocene, Baodean; small mammals; biochronology

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Central Nei Mongol (Inner Mongolia) has a large exposed area of the late Cenozoic sediments containing plentiful mammal remains, which has been a significant lure for paleontologists in China and abroad. Almost a century has passed since the pioneering

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paleontological survey at Ertemte, Huade County and Gaotege, Abaga Banner in the 1920s (Andersson, 1923; Teilhard de Chardin, 1926). After the introduction of micromammalian screen-washing technology in the 1980s (Fahlbusch et al., 1983), the principle paleontological aims were focused on collecting small mammals and establishing a precise Neogene mammalian biochronological framework (Qiu and Wang, 1999; Qiu et al., 2006, 2013). Through arduous efforts by generations of Chinese paleontological workers and their international cooperation partners, now a total of 18 fossiliferous faunas have been distinguished, and their ages range from Early Miocene to Early Pliocene (Qiu and Li, 2016). The Neogene mammalian faunal sequence in central Nei Mongol forms an important part of the Chinese land mammal biochronological system (Deng, 2006; Qiu et al., 2006, 2013; Qiu Z X et al., 2013). The Tuchengzi Fauna was discovered by the SSPE in 1959 (Chow and Rozhdestvensky, 1960), and yielded a great number of large- and middle-sized mammalian fossils (Zhai, 1963; Qiu, 1979). Previous Tuchengzi Fauna lacks small mammalian remains, so makes it difficult to directly compare to other Late Miocene or Baodean faunas in central Nei Mongol or northern China. In recent near ten years, the Institute of Vertebrate Paleontology and Paleoanthropology, CAS (IVPP) has intermittently undertaken fieldworks in Tuchengzi area and successfully collected a number of small mammalian fossils through screen-washing method (Dong et al., 2014, 2016). To clarify the composition of the Tuchengzi Fauna and its precise biochronological position, and further improve the Neogene mammalian biochronological system in central Nei Mongol and northern China, here we report the new small mammalian materials.

## 1 Geologic settings

Tuchengzi fossil site is not far from other well-known mammalian fossil sites in the Huade area, such as Ertemte, Harr Obo, Olan Chorea and Bilike. It is located at the “Dragon bone” hill near the Tuchengzi (=Tuchetse, Tuchenzi) village, Chaoyang town, about 20 km southeast to Huade county seat, Nei Mongol (see Dong, 2014). In the 1980s, the villagers had massively dug the “Dragon bone” hill for the traditional Chinese dragon bone trade. Their gains were concentrated in a horizon about 3 m below the surface. From 2009 to 2015, a team from IVPP surveyed the Tuchengzi village and its surroundings, and did excavations and screen-washing (Dong, 2014; Dong et al., 2014, 2016). They dug four pits in the “Dragon bone” hill for exposing fresh profiles and collecting new fossils. Only one pit produced abundant fossils, including some cervid fragments, a well-preserved *Chilotherium* skull and small mammals.

The total thickness of the section in *Chilotherium* pit is about 8 m. From top to bottom, the section can be divided into 6 layers. Layer zero is an artificial disturbed deposit in about half meter thickness. Layer 1 is yellowish sandy mudstone about 1 m thick. Layer 2 is a thin layer of calcareous concretions without fossils with a thickness of about 30 cm. Layer 3 is

yellowish sandy mudstone about 40 cm thick. Layer 4 is lower layer of calcareous concretions and produces the skull of *Chilotherium*. Layer 5 is a series of reddish sandy mudstones in about 5 m thickness, and its upper part yielded small mammalian remains (Fig. 1).

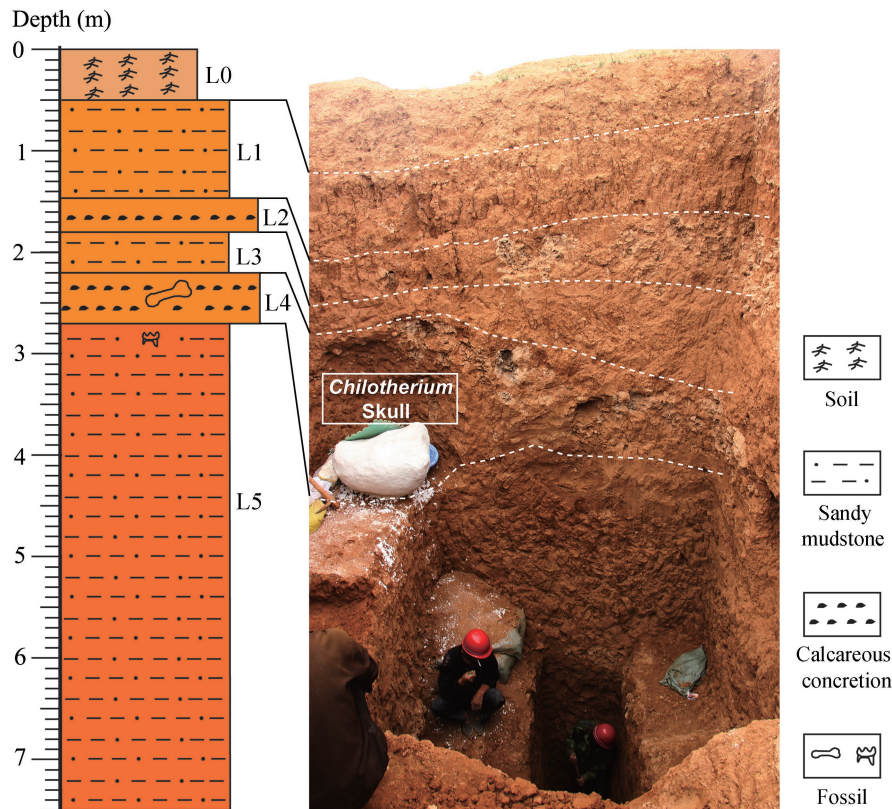


Fig. 1 Fresh profile of *Chilotherium* pit in “Dragon bone” hill near Tuchengzi village, Huade  
Photo taken by LIU Wenhui in 2015; L. abbreviation of layer

## 2 Material and methods

During the fieldwork seasons of 2013 and 2015, about three tons of matrixes were collected from the *Chilotherium* pit. Through screen-washing technology, hundreds of small mammal remains were gathered. The majority of them are fragmentary bones. Mandibles and isolated cheek teeth were picked out for taxonomical identification. All fossils are housed in IVPP.

The specimens were CT-scanned using the 100 kV Micro-CT at the Key Laboratory of Vertebrate Evolution and Human Origins, Chinese Academy of Sciences. Segmentations and 3D virtual reconstructions were made following the standard procedure introduced by Ni et al. (2012). We reconstructed the 3D virtual models of specimens by VGStudio Max (version 2.0) installed in the laboratory. Specimens were measured using an Olympus SZ61 microscope with a precision of 0.1 mm. For the molar, the length is defined as the mesiodistal chord. The width

is defined along the chord perpendicular to the length. The Talpidae humeral measurements are following Storch and Qiu (1983:text-fig. 5). Item 2 represents the length between the pectoral crest/teres tubercle notch and the distal most point of the humerus. Item 3 is the length between the distal apex of the teres tubercle and the distal most of the humerus. Item 5 is the width of the middle of the humeral shaft. Item 6 is the width between the lateral margin of the capitulum and the medial margin of the entepicondylar foramen. The humeral and teeth terminology for the family Talpidae is cited from Hutchison (1974) and Storch and Qiu (1983), the molar terminologies for the Cricetidae and the Lophocricetinae are following Qiu and Li (2016), the Ochotonidae following López-Martínez (1989), and the Myospalacinae and Murinae following Zheng et al. (2004) and Storch (1987), respectively.

**Abbreviations** CAS, Chinese Academy of Sciences; CLMA, Chinese Land Mammal Age; IVPP, the Institute of Vertebrate Paleontology and Paleoanthropology, CAS; V, prefix to the fossil vertebrate collections stored in the IVPP.

### 3 Systematic paleontology

#### Family Talpidae Fischer von Waldheim, 1817

##### Subfamily Talphinae Fischer von Waldheim, 1817

##### Genus *Yanshuella* Storch and Qiu, 1983

##### ?*Yanshuella primaeva* (Schlosser, 1924)

(Fig. 2A–C)

**Age** Late Late Miocene, or Baodean of CLMA.

**Referred specimens** A broken right mandible preserved p3 and m1–3, IVPP V 26813.1; a left humerus (proximal broken), V 26813.2; a right distal of humerus, V 26813.3.

**Measurements** Maximum length×maximum width (in mm): V 26813.1, p3=0.9×0.7, m1=2.15×1.65, m2=2.30×1.80, m3=2.0×1.55. The V 26813.2 humeral measurement items 2, 3, 5 and 6 used in Storch and Qiu (1983:text-fig. 5) are ~8.5, 6.0, 2.5, and 6.0 mm, respectively; in V 26813.3, the item 6 is 5.7 mm.

**Description** On the V 26813.2 (Fig. 2B), the proximal humeral head, the greater tuberosity and lesser tuberosity, the distal ectepicondyle, and the pectoral ridge and tubercle on shaft anterior face are not preserved, so the “scalopine-ridge” is unobserved. The proximal pectoral process is well-developed, the notch between pectoral crest and teres tubercle is shallow. The teres tubercle is short and hook-like. The distal entepicondyle is also hook-like; the capitulum is ovoid and directed mediodistally, the trochlea area is separated by the prominent medial edge of trochlea into a deep and wide outer part and a shallow and narrow inner part, the entepicondylar foramen is large and elliptical, the fossa for ligament of musculus flexoris digitroum is cup-shaped, the olecranon fossa is triangular and deep. The V 26813.3 (Fig. 2A) preserves only its distal part, which size and morphology are identical to those of the V 26813.2. In gross, two humeri show a moderate torsion of shaft. On the V

26813.1 (Fig. 2C), the mandible is slender with curved ventral margin. Because its ascending ramus is broken, the angle between the ascending and the horizontal rami is unidentified. The mental foramen is located beneath the distal root of the p3. Both the p3 and p4 have two roots. The p3 has only main cusp protoconid, whereas the parastylid and the entoconid are not present; its distal cingulid is present. Lower molars' metastylids are present, the talonid basin is always wider than the trigonid basin, the precingulid on the m1 is weaker than that of m2–3, the crista oblique of m2–3 extends to the middle part of the protocristid, the entostylid is well-developed on m1–2 but absent on the m3.

**Remarks** These three specimens represent the appearance of a mole in the Tuchengzi Fauna. Their teeth and humeral sizes are distinctly larger than those of *Quyania chowi*,

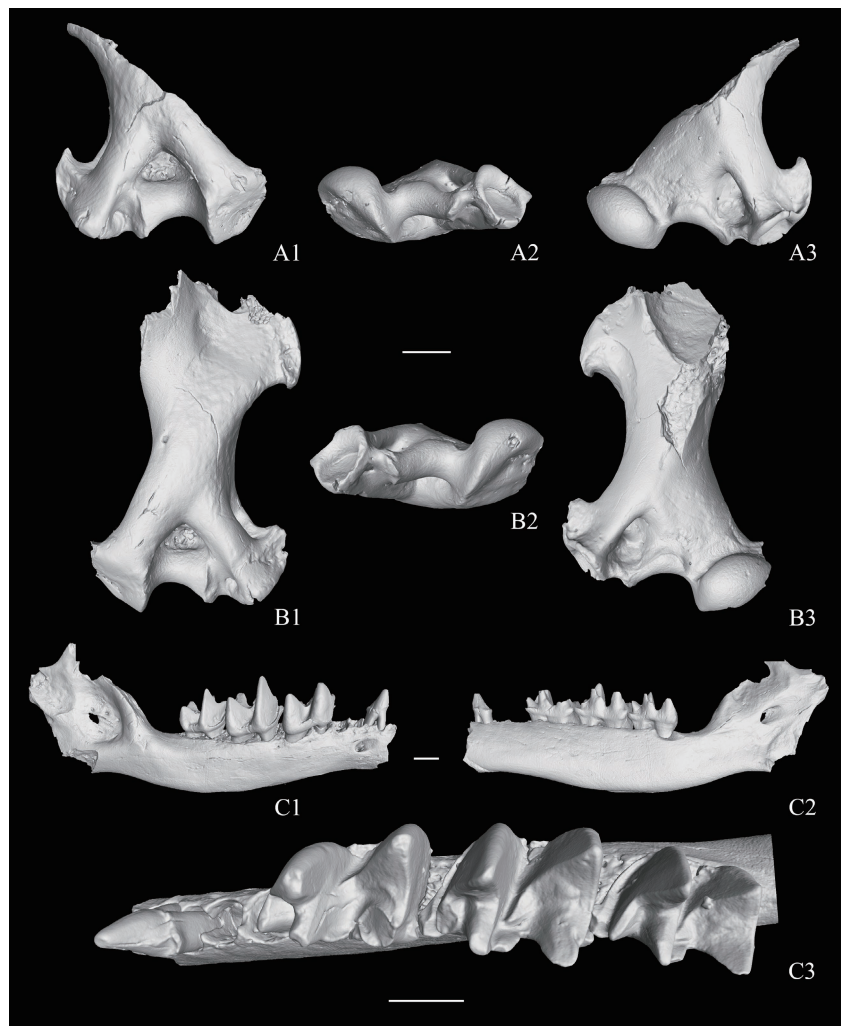


Fig. 2 Humeri and mandible of *Yanshuella primaeva* from Tuchengzi, Huade  
 A. IVPP V 26813.3, right distal of humerus; B. V 26813.2, left humerus; C. V 26813.1, right mandible with p3 and m1–3. A1 and B1, A2 and B2, A3 and B3 are in posterior, distal and anterior views, respectively;  
 C1, C2, C3 are in buccal, lingual and occlusal views, respectively. Scale bars equal 1 mm



but very close to *Yanshuella primaeva* from Ertemte 2 (Storch and Qiu, 1983). In dental morphology, the Tuchengzi sample differs from *Q. chowi* in having higher crowned cheek teeth, a more lingually situated end of the crista oblique, and the crista oblique not separated from protocristid on the lower molars. In humeral morphology, the Tuchengzi sample is different from *Q. chowi* in having a relatively more distorted shaft and greatly asymmetric trochlear area, whereas it is identical to those of *Y. primaeva* from Ertemte 2 (Storch and Qiu, 1983). However, there are three dental morphological differences between the Tuchengzi sample and *Y. primaeva* from Ertemte 2. First, the Tuchengzi's p3 has two roots, whereas the Ertemte 2's p3 has a single root. Second, the lower molars from Tuchengzi have well-developed metastylids, whereas those of Ertemte 2 absolutely lack metastylids. Third, the end of the crista oblique on the lower molars from Tuchengzi is more lingually situated than those of *Y. primaeva* from Ertemte 2 (Qiu and Tong, 2015). The Tuchengzi sample may represent a new member of the Talpidae with a similar ecomorphological humerus in Neogene northern China. Here, considering paucity of material, we temporarily refer the Tuchengzi sample to *Y. primaeva* with further research recommended.

**Family Cricetidae Fischer von Waldheim, 1817**

**Subfamily Cricetinae Fischer von Waldheim, 1817**

**Genus *Sinocricetus* Schaub, 1930**

***Sinocricetus zdanskyi* Schaub, 1930**

(Fig. 3A)

Cricetidae gen. et sp. indet., Dong et al., 2014, p.34

**Age** Late Late Miocene, or Baodean of CLMA.

**Referred specimens** A left maxillary fragment with M1–2, IVPP V 26815.1; a right maxillary fragment with M1, V 26815.2.

**Measurements** Maximum length×maximum width (in mm): V 26815.1, M1=2.0×1.4, M2=1.55×1.3; V 26815.2, M1=1.9×1.35.

**Description** The M1 has a kidney shape. The anterocone is wide and well anteriorly bifid. The protolophule I (mesial protolophule) and metalophule I (mesial metalophule) are both absent. The mesoloph is well developed and long on the V 26815.1, but weak and short on the V 26815.2, and touches anterior wall of the metacone. The M1 has 4 roots. The M2 is slightly posteriorly elongated. The protolophule I is as strong as the protolophule II (distal protolophule). The mesoloph is strong and long, extending in half way to the tooth buccal edge. The metalophule I is absent, whereas the meatalophule II (distal metalophule) is present. The M2 has 4 roots.

**Remarks** *Sinocricetus* often occurs in the late Neogene strata of northern China and has three species. *S. zdanskyi* was reported from the latest Miocene Ertemte, Nei Mongol and other Late Miocene localities in northern China (Schaub, 1930; Wu, 1991; Zheng and Zhang, 2001). *S. progressus* was found from the Early Pliocene Bilike and Gaotege, Nei Mongol and Nihewan Basin, Hebei (Qiu and Storch, 2000; Li et al., 2008; Li, 2010), and the large-sized *S. major* was

described by Li (2010) from the Early Pliocene Gaotege, Nei Mongol. The Tuchengzi sample is similar to *S. progressus* from Bilike and Gaotege in size but differs from the latter in having a higher tooth crown with robust cusps. The Tuchengzi sample is distinctly smaller than *S. major* from Gaotege and has a lower tooth crown. In both size and morphology, the Tuchengzi sample falls well within the variable range of those of *S. zdanskyi* from Ertemte 2 (Wu, 1991).

### Family Muridae Illiger, 1811

#### Subfamily Murinae Illiger, 1811

#### Genus *Hansdebruijnia* Storch & Dahlmann, 1995

#### *Hansdebruijnia pusilla* (Schaub, 1938)

(Fig. 3B)

**Age** Late Late Miocene, or Baodean of CLMA.

**Referred specimen** A left fragmentary maxilla with M1, IVPP V 21817.

**Measurements** Maximum length×maximum width of M1 (in mm) = 2.20×1.60.

**Description** The M1 occlusal face is moderately worn; the ridges are thin relative to the main cusps; the t1bis (=t0 in Storch, 1987) and the t2bis (=prestyle in Storch, 1987) are absent; the t1 is weakly connected with the t5, but is well connected with the t2; the t3 is not connected posteriorly with the t5 or the t6; the cusps t4, t5, t6, t9, and t8 form a continuous garland; the t6 is well connected with the t9; there is no t7; the t12 is present and ridge-like. The M1 has 3 roots.

**Remarks** This M1 has a ridge-like t12, but lacks t1bis, t2bis and t7. The t4 and t8 are connected, and t6 and t9 are also connected. The t3 are separated from both t5 and t6. These features are identical to those of *Hansdebruijnia pusilla* (= *Occitanomys pusillus* Schaub, 1938) from Ertemte 2, Nei Mongol (Storch, 1987). This specimen differs from primitive *H. perpusilla* from Baogeda Ula, Nei Mongol in having a strong t6–t9 connection (Storch and Ni, 2002). As a result, it is appropriate to assign this specimen to derived *H. pusilla*.

### Family Zapodidae Coues, 1875

#### Subfamily Lophocricetinae Savinov, 1970

#### Genus *Lophocricetus* Schlosser, 1924

#### *Lophocricetus grabau* Schlosser, 1924

(Fig. 3C)

**Age** Late Late Miocene, or Baodean of CLMA.

**Referred specimens** A right maxillary fragment with M1–2 and alveoli of P4 and M3, IVPP V 26814.1; a right maxillary fragment with M1–2, V 26814.2.

**Measurements** Maximum length×maximum width (in mm): V 26814.1, M1=1.7×1.35, M2=1.25×1.20; V 26814.2, M1=1.9×1.35, M2=1.55×1.25.

**Description** The M1 and M2 mesolophs and hypostyles all are absent, protostyles are strong, the anteroloph is single, the entoloph is mesiobuccally connected with the paracone, the posteroloph is mesiobuccally connected with the metacone. The M1 mesocone is strong, whereas the M2 mesocone is weak. Both the M1 and M2 have 4 roots.

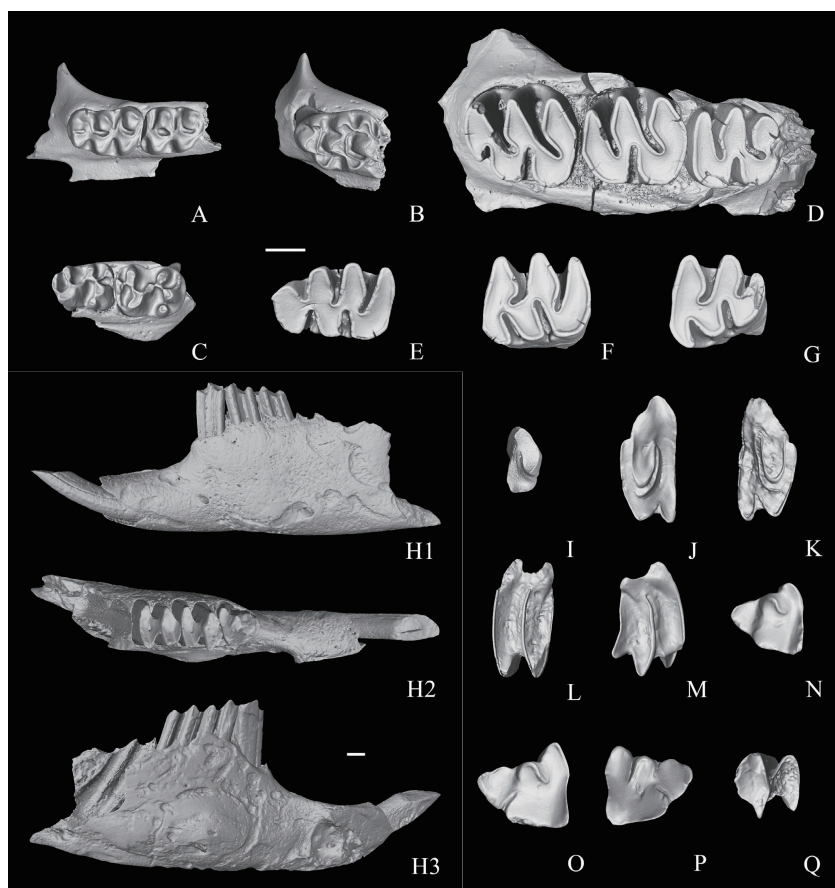


Fig. 3 Rodent and lagomorph remains from Tuchengzi, Huade

A. *Sinocricetus zdanskyi*, left maxilla with M1–2, IVPP V 26815.1; B. *Hansdebruijnina pusilla*, left maxilla with M1, V 21817; C. *Lophocricetus grabau*, right maxilla with M1–2, V 26814.2; D–G. *Prosiphneus licenti*: D. left maxilla with M1–3, V 26816.1, E. left m1, V 26816.6, F. left m2, V 26816.8; G. left m3, V 26816.10; H–Q. *Ochotona lagreli*: H. left mandible with p3–m1, V 21818.1, I. right P2, V 21818.2, J. left P3, V 21818.3, K. right P3, V 21818.6, L. left P4/M1, V 21818.8, M. right M2, V 21818.17, N. right p3, V 21818.19, O. right p3, V 21818.20, P. left p3, V 21818.18, Q. left p4/m1/m2, V 21818.21. A–G, H2, I–Q in occlusal views, H1 in buccal view, H3 in lingual view. Scale bars equal 1 mm

**Remarks** The two dentitions display the characteristic morphology of *Lophocricetus*: the M1 and M2 have a strong protostyle but no hypostyle, and have a mesocone but no mesoloph. These features exclude their attribution of *Paralophocricetus* Zazhigin et al., 2002. In China, *Lophocricetus* has only two species, *L. grabau* from Ertemte 2, Bilutu in Nei Mongol and the Mahui Formation in Yushe Basin, and *L. xianensis* from Bahe, Shaanxi Province and several localities of central Nei Mongol (Qiu, 1985; Qiu et al., 2008; Qiu and Li, 2016; Qiu, 2017). Based on their larger size, distinct absence of a mesoloph and hypostyle on M1–2, the Tuchengzi sample should be assigned to *L. grabau*.



Family Myospalacidae Lilljeborg, 1866  
Subfamily Myospalacinae Lilljeborg, 1866  
Genus *Prosiphneus* Teilhard de Chardin, 1926  
*Prosiphneus licenti* Teilhard de Chardin, 1926  
(Figs. 3D–G)

*Prosiphneus* sp., Dong et al., 2014, p.34

**Age** Late Late Miocene, or Baodean of CLMA.

**Referred specimens** Ten specimens: a left maxillary fragment with M1–3, IVPP V 26816.1; a right maxillary fragment with M1–3, V 26816.2; a right fragmentary mandible with m1–2 and mesial alveolus of the m3, V 26816.3; a right mandibular fragment preserved broken incisor and m1’s alveolus, V 26816.4; a left M1, mesial lobe broken, V 26816.5; 2 m1s (left m1, V 26816.6; right m1, V 26816.7); 2 m2s (left m2, V 26816.8; right m2, V 21816.9); a left m3, V 21816.10.

**Table 1** Molar measurements of *Prosiphneus licenti* from Tuchengzi, Huade, Nei Mongol (mm)

Tooth	Number	Length		Width	
		Range	Mean	Range	Mean
M1	2	3.10–3.20	3.15	2.26–2.30	2.28
M2	2	2.60–2.65	2.64	2.15–2.20	2.18
M3	2	2.10–2.15	2.13	1.95–1.95	1.95
m1	3	2.90–3.35	3.10	1.65–2.15	1.92
m2	3	2.75–3.10	2.88	2.20–2.45	2.35
m3	1	–	2.55	–	2.15

**Measurements** See Table 1.

**Description** On the mandibles, the mental foramen is situated below the mesial root of the m1 and is mesioventral to the apex of the masseteric ridges. The molar roots are well separated. The M1’s lingual salient angle 1 (LSA1) is deep, the LSA2 is slightly distobuccally extending. The M2’s occlusal pattern is ω-like. The M3 has a fossette enclosed by the posteroloph and entocone. The m1’s anterior cap (ac) is wide and short, the lingual reentrant angle 3 (lra3) and the buccal reentrant angle 2 (bra2) are opposite. The m3 is as long as the m2 but with a narrower posterior part. The dentine tract of the upper and lower molars is strongly undulating. The peaks of the dentine tract are higher than the bottoms of the main valleys. The enamel parameters of A, B, C, D of upper molars and a, b, c, d, e of the lower molars are moderate (see Table 2), and are close to those of *Prosiphneus licenti* from Qin’an and Qingyang, Gansu Province (Zheng et al., 2004).

**Remarks** These ten specimens represent the appearance of a zokor in the Tuchengzi Fauna. Based on the molar features of having roots, ω-like occlusal pattern on the M2, opposite lra3 and bra2 on the m1, and the moderate enamel parameters of the molars, these zokor specimens should be attributed to *Prosiphneus licenti*. *P. licenti* was distributed in the late Late Miocene of northwestern China (Teilhard de Chardin, 1926; Zheng et al., 2004).

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**Table 2** Molars' enamel parameters of *Prosiphneus licenti* from Tuchengzi, Huade, Nei Mongol (mm)

Tooth	Inventory number (IVPP)	Enamel parameters of upper molars				
		A	B	C	D	
M1	V 26816.1	0.1	0.3	0.6	0.6	
M1	V 26816.2	0	0.55	0.55	0.6	
M2	V 26816.1	?	?	0.2	0	
M2	V 26816.2	0	0.25	0.25	0	
M3	V 26816.1	0	0.05	0.15	0	
M3	V 26816.2	0.1	0.05	0.2	0	
Enamel parameters of lower molars						
		a	b	c	d	e
m1	V 26816.6	0	0.25	0.2	0.3	0
m1	V 26816.7	0	0.45	0.25	0.4	0
m2	V 26816.8	0.15	0.5	0.3	0.25	0
m3	V 26816.10	0.05	0.15	0.25	0.1	0

**Order Lagomorpha**  
**Family Ochotonidae Thomas, 1897**  
**Genus *Ochotona* Link, 1795**  
***Ochotona lagreli* (Schlosser, 1924)**  
(Figs. 3H–Q)

*Ochotona* sp., Dong et al., 2014, p.34

**Age** Late Late Miocene, or Baodean of CLMA.

**Referred specimens** Twenty-two specimens: a broken left mandible with p3–m1, IVPP V 21818.1; 1 P2, V 21818.2; 5 P3s, V 21818.3–7; 7 P4/M1s, V 21818.8–14; 3 M2s, V 21818.15–17; 3 p3s, V 21818.18–20; 2 lower middle cheek teeth, V 21818.21–22.

**Measurements** See Table 3.

**Description** On the mandible V 21818.1 (Fig. 3H), the diastema is long (~8 mm) but shallow (~2.5 mm, height between the alveoli and ventral margin of the diastema). The incisor terminates at the lingual side of the p4 and forms a bulge. The mental foramina are double, the mesial one is below the p3 and the distal one is below the m2. Several nutrient foramina are developed between and below these two mental foramina. The P2 has a simple occlusal pattern with only one anterior fold; the lingual fold is absent (Fig. 3I). The P3 has a short lingual hypostria and a U-shape crescentic fold filled with cements; its distobuccal corner is prominent; the mesial wall is rounded, whereas the buccal wall is oblique, and the distal wall is straight (Fig. 3J–K). The upper middle cheek teeth (P4/M1) all have two lobes, which

**Table 3** Tooth measurements of *Ochotona lagreli* from Tuchengzi, Huade, Nei Mongol (mm)

Tooth	Number	Length		Width	
		Range	Mean	Range	Mean
P2	1	–	1.0	–	2.0
P3	4	1.35–1.50	1.41	3.05–3.40	3.21
P4/M1	7	1.40–1.75	1.50	2.85–3.70	3.13
M2	3	1.75–1.90	1.83	2.70–3.15	3.0
p3	4	2.0–2.30	2.21	1.80–2.50	2.19
p4/m1/m2	4	1.35–2.30	1.84	1.15–2.50	1.95

connect to each other through a buccal dentine bridge; the mesial lobe (trigon) is higher and wider than the distal lobe (talon) (Fig. 3L). The M2 is similar to P4/M1 but has an additional distolingual salient angle in the distal lobe (Fig. 3M). The p3 has a subtriangular occlusal outline; it comprises one inner fold and two outer folds, of which the distobuccal fold is the deepest, the two mesial folds are opposite and separated by a short enamel bridge; two very shallow grooves are present on the mesial wall of the mesial lobe; the lingual wall is straight, whereas the distal wall is curved (Fig. 3N–P). The lower middle cheek teeth (p4/m1/m2) have two lobes, which connect to each other through a short and wide dentine bridge in middle of the tooth; the mesial lobe (trigonid) is higher and longer than the distal one (talonid) (Fig. 3Q).

**Remarks** Based on the occlusal patterns of the P2, P3 and p3, it is no doubt that the Tuchengzi specimens should be referred to the genus *Ochotona*. The P2 has only an anterior fold. The p3 occlusal outline is near subtriangular with one inner and two outer folds filled with cements, and its outer distal fold is distolingually extending and its length is about half of the tooth width, its inner mesial fold and outer mesial fold are deep and separated by a short enamel bridge. The distolingual wall of the p3 is straight. All these features are identical to the diagnosis of *Ochotona lagreli*. Furthermore, the size of the specimens from the Tuchengzi falls within the variable range of *O. lagreli* from Ertemte 2 and Harr Obo, but it is distinctly larger than *O. minor* from Ertemte 2 (Qiu, 1987). *O. lagreli* is common in the Late Miocene to Early Pliocene localities in northern China, such as Ertemte, Harr Obo, Olan Chorea, and Yushe etc. (Schlosser, 1924; Bohlin, 1942; Wu and Flynn, 2017).

#### 4 Composition of the Tuchengzi Fauna

In 1959, the SSPE team had excavated “*Hipparion* red clay” in Tuchengzi area and unearthed numerous mammalian remains. Anchitheriinae *Sinohippus zitteli* was the first reported species of the collection by the SSPE (Zhai, 1963). Later, Qiu (1979) described another 11 species of large mammals, which included *Hipparion plocodus*, *Chilotherium gracile*, *Aceratherium huadeensis*, *Moschus grandaevus*, *Cervocerus* (= *Cervavitus*) *huadeensis*, *C. novorossiae*, Cervinae gen. et sp. indet., *Dorcadoryx* sp., *Plesiaddax* sp., *Palaeotragus* sp., and *Samotherium* sp. Qiu et al. (1987) recognized *Hipparion fossatum* from *H. plocodus* previously identified by Qiu (1979). Hou et al. (2007) referred the materials of *Sinohippus zitteli* described by Zhai (1963) to her new species *S. robustus*. In recent ten years, Dong’s group from the IVPP undertook surveys and excavations in Tuchengzi and the surrounding area. New fossils include Perissodactyla: *Hipparion* “*plocodus*”, *Sinohippus* “*zitteli*”, *Aceratherium huadeensis*, Artiodactyla: *Eostyloceros blainvillei*, *E. triangularis*, *Euprox* sp., *Cervavitus huadeensis*, *C. shanxius* and *Moschus grandaevus*, Rodentia: Cricetinae gen. et sp. indet., *Prosiphneus* sp. and Lagomorpha: *Ochotona* sp. (Dong, 2014; Dong et al., 2014, 2016, 2018a, b; Pan, 2018). Our report adds three more taxa of small mammals, i.e. a mole ?*Yanshuella primaeva*, a jumping mouse *Lophocricetus grabau*, and a murid

*Hansdebruijnina pusilla*. Table 4 shows the updated mammalian fossil list of the Tuchengzi Fauna and a comparison with other related faunas.

**Table 4 Composition of the Tuchengzi Fauna and a comparison with other related faunas**

	TCZ	ETT	BGD	MH	WLH
Insectivora					
Talpidae					
<i>Yanshuella</i>	<i>primaeva?</i>	<i>primaeva</i>			
Rodentia					
Zapodidae					
<i>Lophocricetus</i>	<i>grabaui</i>	<i>grabaui</i>	<i>xianensis</i>	cf. <i>grabaui</i>	
Cricetidae					
<i>Sinocricetus</i>	<i>zdanskyi</i>	<i>zdanskyi</i>	<i>zdanskyi</i>		
Myospalacidae					
<i>Prosiphneus</i>	<i>licenti</i>	<i>eriksoni</i>	<i>eriksoni</i>	<i>murinus</i>	
Muridae					
<i>Hansdebruijnina</i>	<i>pusilla</i>	<i>pusilla</i>	<i>perpusilla &amp; pusilla</i>		
Lagomorpha					
Ochotonidae					
<i>Ochotona</i>	<i>lagreli</i>	<i>lagreli &amp; minor</i>	sp.	<i>lagreli</i>	
Perissodactyla					
Equidae					
<i>Sinohippus</i>	<i>robustus</i>			sp.	<i>robustus</i>
<i>Hipparion</i>	<i>fossatum</i>	<i>richthofeni</i>	<i>tchikoicum</i>	sp.	<i>teilhardi &amp; platyodus</i>
Rhinocerotidae					
<i>Chilotherium</i>	<i>gracile</i>				<i>anderssoni</i>
<i>Aceratherium</i>	<i>huadeensis</i>				
Artiodactyla					
Cervidae					
<i>Eostyloceros</i>	<i>blainvillei &amp; triangularis</i>				
<i>Euprox</i>	sp.				
<i>Cervavitus</i>	<i>novorossiae &amp; huadeensis &amp; shanxius</i>			sp.	<i>novorossiae</i>
Moschidae					
<i>Moschus</i>	<i>grandaevus</i>	<i>grandaevus</i>		sp.	
Giraffidae					
<i>Palaeotragus</i>	sp.		sp.	sp.	<i>microdon</i>
<i>Samotherium</i>	sp.				<i>sinense</i>
Bovidae					
<i>Dorcadoryx</i>	sp.				
<i>Plesiaddax</i>	sp.				<i>depereti</i>

Abbreviations of the faunas and source of data: TCZ. Tuchengzi Fauna (Qiu, 1979; Dong, 2014; Dong et al., 2014, 2016, 2018a, b; Pan, 2018); ETT. Ertemte Fauna (Wang et al., 2013; Qiu and Li, 2016); BGD. Baogeda Ula Fauna (Wang et al., 2013; Deng et al., 2016; Qiu and Li, 2016); MH. Mahui Formation in Yushe Basin (Tedford et al., 1991; Flynn et al., 1997); WLH. Wulanhua Fauna (Hou et al., 2007; Deng et al., 2011; Li, 2015).

5 Biochronology

Generally, the composition prosperity of the Tuchengzi Fauna, represented by the combination of *Sinohippus robustus*–*Hipparion fossatum*–*Chilotherium gracile*–*Moschus grandaevus*–*Lophocricetus grabau*–*Sinocricetus zdanskyi*–*Prosiphneus licenti*–

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*Hansdebruijnina pusilla*, indicates its Badoean age (Qiu et al., 2013). Compared to other Baodean faunas in the faunal sequence in Neogene central Nei Mongol (Qiu et al., 2006; Qiu and Li, 2016), the Tuchengzi Fauna shows similarities with the Baogeda Ula Fauna (Qiu and Wang, 1999; Storch and Ni, 2002; Qiu et al., 2006; Tseng and Wang, 2007; Wang et al., 2012; Deng et al., 2016), the Ertemte Fauna (almost isochronous and homogeneous to Harr Obo Fauna) (Schlosser, 1924; Fahlbusch et al., 1983; Storch and Qiu, 1983; Qiu, 1985, 1987, 1991, 2003; Wu, 1985, 1991; Fahlbusch, 1987, 1992; Storch, 1987, 1995; Fahlbusch and Möser, 2004), and the Wulanhua Fauna (Deng et al., 2011; Li, 2015). The Wulanhua Fauna lacks small mammals, and is similar to the Tuchengzi Fauna in generic level by sharing *Hipparion*, *Sinohippus*, *Chilotherium*, *Cervavitus*, *Palaeotragus*, and *Samotherium*, but differentiates in having different species, such as *Hipparion teilhardi*, *H. platyodus* and *Chilotherium anderssoni* (Deng et al., 2011). The Tuchengzi Fauna should be younger than the Baogeda Ula Fauna, because the former has some derived taxa such as *Lophocricetus grabau*i and *Hansdebruijnina pusilla*, which replace *L. xianensis* and *H. perpusilla*, respectively (Qiu and Li, 2016). The Tuchengzi Fauna is close to the Ertemte Fauna by sharing *Lophocricetus grabau*i, *Sinocricetus zdanskyi*, *Hansdebruijnina pusilla*, and *Moschus grandaevus*. However, the relatively primitive evolutionary stage of *Prosiphneus licenti* indicates that the Tuchengzi Fauna should be slightly older than the Ertemte Fauna (compared to *P. eriksoni*).

The newly published paleomagnetic dating of the Baogeda Ula Formation (Sun et al., 2018) suggested that the Baogeda Ula Fauna could be placed within chron C4n.1n (7.642–7.528 Ma). The age of the Tuchengzi Fauna should be younger than this. The paleomagnetical dating of *Prosiphneus licenti* layers in the Qin'an section is in range of 7.6–6.5 Ma (Guo et al., 2002). The Tuchengzi micromammalian assemblage can also be compared to those from the Mahui or Gaozhuang formations in Yushe Basin. In Yushe Basin, *Ochotona lagreli* occurs in the Late Miocene Mahui Formation and in the Early Pliocene Gaozhuang Formation (Wu and Flynn, 2017), *Lophocricetus* cf. *L. grabau*i only appears in the locality YS8 in the Mahui Formation (about 6.3 Ma) (Qiu, 2017). Dong et al. (2018a) pointed out that some taxa from the Tuchengzi Fauna, such as *Eostyloceros blainvillei*, *E. triangularis*, and *Cervavitus shanxius* are also common elements in the “Zone I” or the Mahui Formation in Yushe Basin (Tedford et al., 1991). The paleomagnetic dating of these large mammal fossil horizons in the Yushe Basin is in range of about 6.5–6.0 Ma (Flynn et al., 1997; Opdyke et al., 2013). The Ertemte Fauna has no precise magnetic dating, but a biochronological estimation constrained it in the latest Late Miocene (slightly earlier than 5.3 Ma), and its estimated age has been accepted by many researchers (Qiu Z D et al., 2013; Qiu Z X et al., 2013). Here we can roughly constrain the age of the Tuchengzi Fauna into a relatively long interval of about 6.5–5.3 Ma. In fact, colleagues from the Institute of Geology and Geophysics, Chinese Academy of Science carried out a paleomagnetic sampling project during the excavation in 2013, and the preliminary result is in consistence with our age estimation of mammalian biochronology (Deng C L, personal communication).



## 6 Paleoenvironment

Generally, the Tuchengzi Fauna is a part of the Late Miocene Chinese *Hipparion* faunas. The tooth enamel carbon isotopes ( $\delta^{13}\text{C}$ ) analysis of herbivores demonstrated that during the Late Miocene, *Hipparion* faunas in northern China could inhabit the habitats dominated by steppes with  $\text{C}_3$  grasses (Wang and Deng, 2005; Hou et al., 2006). The rhinoceros *Chilotherium gracile*, *Aceratherium huadeensis*, three-toed horse *Hipparion fossatum* (pro. *H. plocodus*), zokor *Prosiphneus licenti* and pika *Ochotona lagreli* in the Tuchengzi Fauna are all representative dwellers in an open environment. However, based on the brachyodont cheek teeth of *Sinohippus roubstus* (pro. *S. zitteli*) and *Cervavitus shanxius*, they were regarded as browsers feeding on tender leaves (Hou et al., 2007; Pan, 2018). The highly diverse Cervoidea in the Tuchengzi Fauna strongly indicate a forest paleoenvironment. The murid *Hansdebruijnina pusilla* and jumping mouse *Lophocricetus grabau* seem to be adapted to forests or brushes. In a brief, the paleoenvironment in the Tuchengzi area during the late Late Miocene was possibly a mosaic landscape mixed with forest and steppe.

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## 晚中新世晚期土城子小哺乳动物组合及土城子动物群 在内蒙古中部地区新近纪哺乳动物群序列中的位置

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**摘要:** 近百年来, 在内蒙古中部地区发现了丰富的新近纪哺乳动物化石, 命名和描述了10余个哺乳动物群, 其时代跨越早中新世至早上新世。它们已经成为建立中国北方新近纪哺乳动物生物年代地层框架的重要依据。早在1959年中苏古生物联合考察过程中就已经发现土城子地区有丰富的哺乳动物化石, 但这些化石以大-中型哺乳动物为主, 缺乏小哺乳动物。土城子动物群的构成和其在中国北方新近纪哺乳动物年代地层框架中的位置长期以来不是特别明确。报道了在土城子新发现的6种小哺乳动物化石。根据*Lophocricetus grabau*–

*Sinocricetus zdanskyi*–*Prosiphneus licenti*–*Hansdebruijnina pusilla*–*Moschus grandaevus*的组合特点, 认为土城子动物群的年代应该可以很好地约束在晚中新世(或者保德期)的晚期。土城子动物群明显比内蒙古的宝格达乌拉动物群要进步一些, 与二登图动物群非常相似, 时代上晚于前者, 略早于后者。依据动物群整体面貌, 推测在晚中新世晚期土城子地区存在森林和草原混合的环境。

关键词: 内蒙古化德土城子, 晚中新世晚期, 保德期, 小哺乳动物, 生物年代

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